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Dated 2 April 2003

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08MAR02 E701917-1 D02884
P01/7700 0.00-0205492.2

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Request for grant of a patent

(See the notes on the back of this form. You can also get an explanatory leaflet from the Patent Office to help you fill in this form)

The Patent Office

Cardiff Road
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1. Your reference — P30830-/LMM/MEA

2. Patent application number — 0205492.2 — 8 MAR 2002

3. Full name, address and postcode of the or of each applicant *(underline all surnames)*

OTV ~~Societe Anonyme~~ S A
L'Aquarene,1 Place Montgolfier
St Maurice,Cedex
94417
France

Patents ADP number *(if you know it)* 8339709001

If the applicant is a corporate body, give the country/state of its incorporation — France

CT Film 5.3.03

4. Title of the invention — Water Filter and Treatment Units

5. Name of your agent *(if you have one)*

Murgitroyd & Company

"Address for service" in the United Kingdom to which all correspondence should be sent *(including the postcode)*

Scotland House
165-169 Scotland Street
Glasgow
G5 8PL

Patents ADP number *(if you know it)* ~~P198613~~ 1198615

6. If you are declaring priority from one or more earlier patent applications, give the country and the date of filing of the or of each of these earlier applications and *(if you know it)* the or each application number

Country	Priority application number *(if you know it)*	Date of filing *(day / month / year)*

7. If this application is divided or otherwise derived from an earlier UK application, give the number and the filing date of the earlier application

Number of earlier application	Date of filing *(day / month / year)*

8. Is a statement of inventorship and of right to grant of a patent required in support of this request? *(Answer 'Yes' if:*

a) any applicant named in part 3 is not an inventor, or
b) there is an inventor who is not named as an applicant, or
c) any named applicant is a corporate body.
See note (d))

Yes

9. Enter the number of sheets for any of the following items you are filing with this form. Do not count copies of the same document

Continuation sheets of this form	-
Description	9
Claim(s)	-
Abstract	-
Drawing(s)	1 + 1

10. If you are also filing any of the following, state how many against each item.

Priority documents	-
Translations of priority documents	-
Statement of inventorship and right to grant of a patent *(Patents Form 7/77)*	-
Request for preliminary examination and search *(Patents Form 9/77)*	-
Request for substantive examination *(Patents Form 10/77)*	-
Any other documents *(please specify)*	-

11. I/We request the grant of a patent on the basis of this application.

Signature Murgitroyd & Company Date 7 March 2002

12. Name and daytime telephone number of person to contact in the United Kingdom: Mark Earnshaw 028 9032 0441

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Water Filter and Treatment Units

The present invention relates to water filter and treatment units for use in host water treatment apparatus.

In the production of treated and/or purified water, for example ultra-pure water for laboratory use, several components are generally used in conjunction to provide the desired water quality. Some of these components may be used in parallel or in series, and some are more critical than others to the final water quality. Nevertheless, the full and correct performance of all the components is generally essential to guarantee the treated water quality.

To ensure that the final water quality is of the required standard, quality monitors are usually installed either within or external to the water purification unit to monitor key water parameters on an ongoing basis. Typically these will include, but are not limited to, resistivity, conductivity,

temperature, Total Organic Carbon (TOC), flow rate, etc.

Notwithstanding the above monitoring, for certain applications, industry regulations require traceability of components that affect the final water quality. Typically, this information is required by companies producing pharmaceuticals or similar products. Currently, this is generally carried out by manual logging of component information.

Meanwhile, components can often be installed and/or used in more than one position in a water treatment apparatus. In other situations, optimum performance of the apparatus can be obtained by using the components in different positions at different instances. However, incorrect performance and/or positioning cannot currently be prevented, which may seriously undermine the water quality and production.

Additionally, it is a desire to know how much capacity or operational lifetime is retained within a component. However, as most components are sealed units, this is impossible to forecast before the component suddenly expires or breaks down, again potentially significantly affecting the water production. This may cause inconvenience to the user who would often prefer to schedule component changes at specific times.

It is an intention of the present invention to obviate the above disadvantages.

Thus, according to one aspect of the present invention, there is provided a water treatment component for use in a host water treatment apparatus, wherein the component has an electronic circuit which can co-operate with an electronic circuit in the host apparatus.

The co-operation may be one way, either from unit to host or vice versa, or two-way.

The component circuit and host circuit can communicate via radio, infrared, or any other transmittable waveforms including optical and magnetic contact. Preferably, the circuits communicate by physical electrical contact for maximum robustness of connection, and to minimise interference by other means of communication. Preferably co-operation of the circuits is only possible when the communication is correctly created, and this is only achieved when the component is correctly installed and/or fitted with the host apparatus.

Each electronic circuit preferably includes a memory capacity and a capability to read/interrogate the other electrical circuit. The electrical circuit in the host apparatus is preferably a central processor, and the electrical circuit in the component is preferably a data chip, e.g. a microchip such as well known in the art.

The electronic circuit of the component is preferably integral with the component, and more preferably, is formed integrally with the component during the component manufacture. The electronic circuit is preferably embedded into or mounted onto the component.

The electronic circuit of the component preferably includes a database having relevant data relating to that component such as validation information, process information, and/or manufacturing information. Typical information includes, but is not limited to, date of manufacture, date of testing, operator, cartridge type, media type(s), media volumes, media lot numbers, quality control details, and possibly a unique reference code.

The data of the component electronic circuit could be encrypted.

According to one embodiment of the present invention, the electronic circuit of the component provides an enablement signal to the electronic circuit of the host apparatus, and/or vice versa.

The enablement signal may include means for the component or host to control the other part. Preferably, the unit and host inter-co-operate.

Information that can be communicated between the electronic circuits of the component and host

generally include validation information, production information and/or manufacturing information. Such information in the unit could be accessed from the host apparatus and be displayed by the host apparatus.

If necessary or desired, the same information in the unit could be accessed via a separate reader device or otherwise communicated to a remote reader, for analysis and/or display.

In typical operation, the electronic circuit of the component includes at least a data tag, and the presence of the data tag is identified by the electronic circuit of the host apparatus upon correct fitment and/or installation of the component, which creates a two-way communication protocol. The host apparatus can then upload relevant data from the data tag, etc. and the component's circuit can download the relevant information from the host unit.

In another embodiment of the present invention, lack of co-operation between the electronic circuit of the component and electronic circuit of the unit indicates the incorrect fitment and/or installation of the component with the unit, or incorrect location of a component on a host apparatus where more than location is possible.

In another embodiment of the present invention, the lack of co-operation between the electronic circuit

of the component and the electronic circuit of the unit identifies incorrect operation of the component and/or host apparatus, e.g. a water leak.

The present invention extends to the combination of a water treatment component as hereinbefore defined with a host water treatment apparatus having a co-operable electronic circuit.

In a further embodiment of the present invention the water treatment component of the present invention is a consumable and/or replacement unit. This includes water treatment units containing ion exchange resins, filters, media, etc.

According to a yet further embodiment of the present invention the water treatment component of the present invention is an operational unit. Such operational units include means to sanitise and/or clean e.g. by way of disinfection and/or chemical cleaning, one or more parts of the host apparatus. This may be by means of a component that contains the sanitant or by the fitment of dummy components in place of components that may be damaged by the sanitant.

The present invention provides the benefits of electrical co-operation and data tagging. These include one or more of correct installation/fitting/use of components, correct location of relevant components in a host apparatus, error-free transfer of information of component

origins and/or history, automatic start and/or use of components such as sanitisation units, and prevention of incorrect components, such as half-used components, and out of date or inappropriate components.

An embodiment of the present invention will now be described by way of example only, and with reference to the accompanying and diagrammatic Fig. 1 showing a water treatment component and host water treatment apparatus according to one embodiment of the present invention.

Referring to Fig. 1, there is shown a water treatment component 2 and a host water treatment apparatus 4. The component 2 has an embedded microchip 6, which can co-operate with an electronic interface 8 on the host apparatus 4. The remaining part of the electronic circuitry in the host apparatus 4 is not shown.

The component 2 includes inlet and outlet water ports 10a,12a, to fit with complementary inlet and outlet water ports 10b,12b on the host apparatus.

The host apparatus includes a purified water outlet 14, and an electronic display 16.

The host apparatus 4 is a water purification unit, and the component 2 is a consumable resin cartridge.

The microchip 6 includes a database retaining product master records including date of manufacture

of the component 2, date of testing, operator, cartridge type, media type (within the component), media volume, media lot numbers, quality control details, and a unique reference code. Only the correct installation and fitting of the component 2 within the opening in the host apparatus 4, allows the microchip 6 to engage and co-operate with the interface 8 on the host unit 4.

Once the component 2 is fitted correctly, the electronic circuitry in the host apparatus identifies the presence of a data tag on the component 2, such that a two-way communication protocol is established. Once communication has been made, the host apparatus 4 can upload relevant data from the microchip data tag 6, and the micro chip data tag 6 can download relevant information from the host apparatus 4. The information uploaded to the host apparatus includes performance validation criteria such as lot numbers, dates and content type and property. Information which is downloaded into the microchip data tag 6 includes date of commencement of operation and volume of water used on an ongoing basis. The combination of this information allows improvement in determination of consumable lifetime.

Some or all of this information could be displayed on the display 16 on the host apparatus 4. This could include visual warning of any incorrect operation, or end of life-time of the component 2.

Because the host apparatus electronic circuitry can identify the presence, or not, of a data tag, it can be used to prevent leaks from the apparatus 4, in that if a component is not fitted correctly with its data tag in place, then the apparatus 4 will not operate and thus prevent leaks occurring.

Moreover, if the component 2 could be fitted in more than one opening in the host apparatus 4, incorrect fitment of the component 2 in the wrong position could be prevented due to the unique identifier code on each data tag.

The memory in the host apparatus electronic circuitry could also detect if a particular data tag has been previously used in a particular position, and hence also prevent a situation where optimum performance is not obtained. Furthermore, if certain changes to the configuration of components is required prior to carrying out such functions as sanitisation then this configuration can be ascertained prior to entering that mode.

The present provides a number of clear advantages, including increased automation of information logging, prevention of use of components in an un-optimised manner, greater user awareness of remaining operational life time of components, and prevention of mis-connection/mis-installation which could compromise final water quality, etc.

fig 1